

FINRA <http://www.finra.org/>

# Financial Industry Regulatory Authority

By Bernard E. Scoville May 18, 2015

From FINRA's website and Wikipedia:

In the [United States](#), the **Financial Industry Regulatory Authority, Inc. (FINRA)** is a private corporation that acts as a [self-regulatory organization](#) (SRO). FINRA is the successor to the **National Association of Securities Dealers, Inc.(NASD)** and the member regulation, enforcement and arbitration operations of the [New York Stock Exchange](#). It is a non-governmental organization that [regulates](#) member [brokerage firms](#) and [exchange markets](#). The government agency which acts as the ultimate regulator of the securities industry, including FINRA, is the [Securities and Exchange Commission](#).

**Watch 1 minute 52 second FINRA Video at About FINRA:**

**How I Routinely Use FINRA by Bernard E. Scoville:**

On the FINRA home page <http://www.finra.org/>, under "For Investors", click on "Tools and Calculators".

On "Tools and Calculators", click on "Market Data".

On "Market Data", click on "Watchlist".

On "Watchlist", you can enter the bond CUSIPS, and the fund and stock symbols that you want to watch.

Once you have some securities in your Watchlist, you can sort them by whatever column you click on at its top. You can sort ascending or descending. I usually sort by "Chg%" then I can see which security is going up the most today. In your Watchlist, Bonds will be listed first, then Equities, then Funds. As far as I know, the Watchlist is the only place you can track individual bonds. FINRA's Watchlist lets me quickly see how my securities are doing.

Once you have a Watchlist, bookmark it so you easily return to it.

FINRA offers many other services, which you explore on their website, and you can also call them at (301) 590-6500.

As I demonstrated October 17, 2013, FINRA can also help you to shop for individual bonds:

<http://wonderfulwebwork.com/documents/Investing In Bonds 2013 1017.pdf>